

## **Brochure Supplement**

April 18, 2018

### **Left Brain Wealth Management, LLC**

SEC File No. 801-113256

**Noland D. Langford**

**Managing Member**

Individual CRD No. 4041451

215 Shuman Blvd., #304  
Naperville, IL 60563  
630-517-9300

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NolandL@leftbrainwm.com  
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This brochure supplement provides information about Noland Langford that supplements the Left Brain Wealth Management, LLC, brochure. You should have received a copy of that brochure. If you did not receive a brochure or if you have any questions about the contents of this supplement, please contact us at 630-517-9300 or email at [NolandL@leftbrainwm.com](mailto:NolandL@leftbrainwm.com).

Additional information about Noland Langford available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Noland Langford (b. 1970) is the Managing Member of Left Brain Wealth Management, LLC.

### A. Educational Background

Bachelors, Roosevelt University	2003
MBA, University of Chicago Booth School of Business	2009

### B. Professional Designations and Licenses

CERTIFIED FINANCIAL PLANNER™ (CFP®) Professional

### C. Business Background

Managing Member, Left Brain Wealth Management, LLC	05/2014–Present
Registered Representative, Purshe, Kaplan & Sterling	05/2014–12/2015
Financial Advisor, Wells Fargo Advisors LLC	05/2009–05/2014
Financial Advisor, Wachovia Securities, LLC	05/2005–05/2009
Financial Advisor, Merrill Lynch	08/1999–05/2005

### D. Professional Designations - Qualifications and Related Criteria

#### D.1. CERTIFIED FINANCIAL PLANNER™ (CFP®)

Individuals certified by CFP® Board have taken the step to demonstrate their professionalism by voluntarily submitting to the CFP® certification process that includes thorough education, examination, experience and ethical requirements. The CFP® is issued by the Certified Financial Planner Board of Standards, Inc. Prerequisites require a designee to hold a Bachelor's degree (or higher) from an accredited college or university as well as three years of full-time personal financial planning experience. The designee is then required to complete a CFP® board registered program, or hold one of the following designations; CPA, ChFC, CLU, CFA, PH.D. in business or economics, Doctor of Business Administration, or Attorney's License. The designee is then required to complete the CFP® certification examination. In addition, the designee is required to complete 30 hours of continuing education every two years.

## Item 3 Disciplinary Information

Mr. Langford does not have any disciplinary action to report. Public information concerning his registration as an investment advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 4 Other Business Activities**

Mr. Langford is a licensed insurance agent. With respect to the provision of financial planning services, he may recommend insurance products offered by such carriers for whom he functions as an agent and receive a commission for doing so. Please be advised there is a potential conflict of interest in that there is an economic incentive to recommend insurance and other investment products of such carriers. Please also be advised that LBWM strives to put its clients' interests first and foremost. Other than for insurance products that require a securities license, such as variable insurance products, clients may utilize any insurance carrier or insurance agency they desire.

Mr. Langford is involved in real estate investment from which he derives income or rent.

## **Item 5 Additional Compensation**

Mr. Langford receives additional compensation through his business activities described in Item 4 above.

## **Item 6 Supervision**

Supervision of Mr. Langford is performed by Gery Sadzewicz, Chief Compliance Officer, through reviews of internal transaction and security holdings reports, reviews of electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Mr. Sadzewicz can be reached at 815-782-1250.

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### **Left Brain Wealth Management, LLC**

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**Freddy Garcia**

**Investment Advisor Representative**

Individual CRD No 3227457

215 Shuman Blvd. #304

Naperville, IL 60563

phone: 630-517-9300

email: [freddyg@leftbrainwm.com](mailto:freddyg@leftbrainwm.com)

website: [www.leftbrainwm.com](http://www.leftbrainwm.com)

This brochure supplement provides information about Freddy Garcia that supplements the Left Brain Wealth Management, LLC brochure. You should have received a copy of that brochure. If you did not receive a Left Brain Wealth Management, LLC brochure or if you have any questions about the contents of this supplement, please contact us at 630-517-9300.

Additional information about Freddy Garcia is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

Freddy Garcia (b. 1975) is an Investment Advisor Representative Left Brain Wealth Management, LLC.

### A. Educational Background

B.A. in Business Administration, Augustana College 2011

### B. Professional Designations

CERTIFIED FINANCIAL PLANNER™ (CFP®) Professional 2011

### C. Business Background

Investment Advisor Representative 08/2016–Present  
Left Brain Wealth Management, LLC

Registered Representative, The Leaders Group, Inc. 08/2016–Present

VP Financial Consultant, Fidelity Investments 09/2005–08/2016

### D. Professional Designations - Qualifications and Related Criteria

#### D.1. CERTIFIED FINANCIAL PLANNER™ (CFP®)

Individuals certified by CFP® Board have taken the step to demonstrate their professionalism by voluntarily submitting to the CFP® certification process that includes thorough education, examination, experience and ethical requirements. The CFP® is issued by the Certified Financial Planner Board of Standards, Inc. Prerequisites require a designee to hold a Bachelor's degree (or higher) from an accredited college or university as well as three years of full-time personal financial planning experience. In addition, candidates must complete a CFP-board registered program (or hold an accepted designation, degree or license) and take the CFP Certification examination. To maintain certification, the designee is required to complete 30 hours of continuing education every two years and continue to agree to be bound by the Standards of Professional Conduct.

## Item 3: Disciplinary Information

Freddy Garcia does not have any disciplinary action to report. Public information concerning his registration as an investment advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 4: Other Business Activities

Freddy Garcia is a registered representative of The Leaders Group, Inc., a FINRA-registered broker-dealer. As such, he may receive transaction or commission compensation from The

Leaders Group. The recommendation of securities transactions for commission creates a conflict of interest in that LBWM is economically incented to effect securities transactions for clients. Although LBWM strives to put its clients' interests first, such recommendations may be viewed as being in the best interests of LBWM rather than in the client's best interest. LBWM advisory clients are not compelled to effect securities transactions through The Leaders Group.

Mr. Garcia is a licensed insurance agent. With respect to the provision of financial planning services, he may recommend insurance products offered by such carriers for whom he functions as an agent and receive a commission for doing so. Please be advised there is a potential conflict of interest in that there is an economic incentive to recommend insurance and other investment products of such carriers. Please also be advised that LBWM strives to put its clients' interests first and foremost. Other than for insurance products that require a securities license, such as variable insurance products, clients may utilize any insurance carrier or insurance agency they desire.

Mr. Garcia also owns a residential rental property.

### **Item 5: Additional Compensation**

Mr. Garcia receives additional compensation through his business activities described in Item 4 above.

### **Item 6: Supervision**

Supervision of Freddy Garcia is performed by Gery Sadzewicz, Chief Compliance Officer, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Mr. Sadzewicz can be reached at 815-782-1250.

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### **Left Brain Wealth Management, LLC**

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**Mark D. Hines**

**Investment Advisor Representative**

Individual CRD No 4765638

215 Shuman Blvd. #304

Naperville, IL 60563

phone: 630-517-9300

email: [markh@leftbrainwm.com](mailto:markh@leftbrainwm.com)

website: [www.leftbrainwm.com](http://www.leftbrainwm.com)

This brochure supplement provides information about Mark D. Hines that supplements the Left Brain Wealth Management, LLC brochure. You should have received a copy of that brochure. If you did not receive a Left Brain Wealth Management, LLC brochure or if you have any questions about the contents of this supplement, please contact us at 630-517-9300.

Additional information about Mark D. Hines is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

Mark D. Hines (b. 1976) is an Investment Advisor Representative with Left Brain Wealth Management, LLC.

### A. Educational Background

MBA, University of Chicago, Booth School of Business	2009
BS, Finance, University of Illinois at Urbana-Champaign	1999

### B. Business Background

Investment Advisor Representative Left Brain Wealth Management, LLC	04/2016–Present
Managing Member Blue Harbinger Research, LLC	05/2015–Present
Manager, Equity Investments Wespath Investment Management	03/2010–05/2015
Associate Portfolio Manager, Active Equities Northern Trust Global Investments	06/2004–01/2007

## Item 3: Disciplinary Information

Mark D. Hines does not have any disciplinary action to report. Public information concerning his registration as an investment advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 4: Other Business Activities

Mark is the Managing Member of Blue Harbinger Research, LLC, an investment research firm. He spends approximately 100+ hours per month on this business creating investment research reports.

## Item 5: Additional Compensation

Mark receives additional compensation through his business activity described in Item 4 above.

## Item 6: Supervision

Supervision of Mark Hines is performed by Gery Sadzewicz, Chief Compliance Officer, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Mr. Sadzewicz can be reached at 815-782-1250.



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### **Left Brain Wealth Management, LLC**

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**Marina S. Solomon**

**Assistant Vice President**

**Director of Client Relations**

Individual CRD No. 1906169

215 Shuman Blvd. # 304  
Naperville, IL 60563

phone: 630-517-9300  
email: MarinaS@leftbrainwm.com  
website: www.leftbrainwm.com

This brochure supplement provides information about Marina Solomon that supplements the Left Brain Wealth Management, LLC brochure. You should have received a copy of that brochure. If you did not receive a Left Brain Wealth Management, LLC brochure or if you have any questions about the contents of this supplement, please contact us at 630-517-9300.

Additional information about Marina Solomon is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

Marina Solomon (B: 1965) is the Director of Client Relations of Left Brain Wealth Management, LLC.

### A. Educational Background

Bachelor of Business Administration, Loyola University 1987

### B. Business Background

Director of Client Relations, Left Brain Wealth Management, LLC	05/2014–Present
Registered Representative, Purshe, Kaplan & Sterling	05/2014–12/2015
Senior Registered Client Associate, Wells Fargo Advisors, LLC	05/2009–05/2014
Senior Registered Client Associate, Wachovia Securities, LLC	05/2007–05/2009

## Item 3: Disciplinary Information

Marina Solomon does not have any disciplinary action to report. Public information concerning Marina Solomon’s registration as an investment advisor representative may be found by accessing the SEC’s public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 4: Other Business Activities

Marina Solomon is a licensed insurance agent. With respect to the provision of financial planning services, she may recommend insurance products offered by such carriers for whom she functions as an agent and receive a commission for doing so. Please be advised there is a potential conflict of interest in that there is an economic incentive to recommend insurance and other investment products of such carriers. Please also be advised that LBWM strives to put its clients’ interests first and foremost. Other than for insurance products that require a securities license, such as variable insurance products, clients may utilize any insurance carrier or insurance agency they desire.

## Item 5: Additional Compensation

Marina Solomon receives additional compensation through her business activity described in Item 4 above.

## Item 6: Supervision

Supervision of Marina Solomon is performed by Gery Sadzewicz, Chief Compliance Officer, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Mr. Sadzewicz can be reached at 815-782-1250.